## PCT

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 4:		(11) International Publication Number: WO 86/ 03405
A61K 7/15	A1	(43) International Publication Date: 19 June 1986 (19.06.86)
(21) International Application Number: PCT/US (22) International Filing Date: 2 December 1985	(02.12.	ropean patent), CH (European patent), DE (European patent), DK, FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European
(31) Priority Application Number:	679,	
(32) Priority Date: 7 December 1984	(07.12.	4) Published
(33) Priority Country:		JS With international search report.
<ul> <li>(71)(72) Applicants and Inventors: OSIPOW, Lloy US]; 2 Fifth Avenue, New York, NY 10 SPITZER, George, J. [US/US]; 44 Coco Palm Beach, FL 33480 (US). MARRA, Do [US/US]; 107 Fernwood Road, Summit, (US).</li> <li>(74) Agents: FOLEY, John, D. et al.; Morgan, Pine, Foley &amp; Lee, 345 Park Avenue, New 10154 (US).</li> </ul>	011 (Unut Report	S). w; C.   01

#### (54) Title: SELF-LATHER GENERATING SHAVING COMPOSITION

#### (57) Abstract

Shaving preparation having a sub-atmospheric vapor pressure at ambient temperature and which generates a lather when applied to the skin. The composition comprises a water-insoluble volatile organic liquid having a vapor pressure substantially below atmospheric pressure, an aqueous soap solution and a surface active agent which together promote the volatilization of the organic liquid, thereby generating a lather as well as reducing the tendency of the volatile organic liquid to cause smarting of the skin. The compositions of this invention are capable of being packaged in a collapsible tube without premature expansion in the tube during storage, shipment and use.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

ΑT	Austria	GA	Gabon	MER	Mauritania
ĀŪ	Australia	GB	United Kingdom	MW	Malewi
BB	Barbados	HU	Hungary	NL	Netherlands
BE	Belgium	IT	Italy	NO	Norway
BG	Bulgaria	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Kores	SN	Senegal
CH	Switzerland	L	Liechtenstein	SU	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
DE	Germany, Federal Republic of	LU	Luxembourg	TG	Togo
DK	Denmark	MC	Monaco	US	United States of America
F	Finland	MG	Madagascar		
FR	France	ML	Mali		

BNS page 2

20

25

#### SELF-LATHER GENERATING SHAVING COMPOSITION

#### BACKGROUND OF INVENTION

Compositions that produce lather for shaving have been known for many decades. One type of known shaving composition that have been used for years are those whisked with a brush to incorporate air and thereby generate lather.

shaving composition are those aerosol shaving compositions containing volatile organic liquids under super atmospheric pressure. Such compositions are disclosed in U.S. Patent 2,655,480 to Spitzer et al. In these lather producing compositions, the vapor pressure of the volatile liquids is substantial, say 25 to 40 p.s.i.g. so that the compositions are immediately converted to lather when released from the aerosol container to the atmosphere.

A more recent type of lather producing shaving composition is the gel lather producing composition disclosed in U.S. Patent 3,541,581 to Monson. In the composition of this patent there are employed organic liquids with vapor pressures of 6 to 14 p.s.i.g. According to Monson patent, the Monson compositions when released from their container would quickly expand to a lather if not for the inclusion of a gelling agent which restrains lather formation until the shaving composition is applied to the skin in the form of a gel.

30 More particularly, the Monson compositions

are post-foaming shaving gel compositions that are dispensed as gels, but produce a lather when rubbed on the face. The compositions comprise a soap solution, a gelling agent, and an organic liquid having a vapor pressure from about 6 to about 14 p.s.i.g. at a temperature from about 90 to 100°F. The organic liquid is the post-foaming agent.

In order to dispense Monson's compositions with their super-atmospheric vapor pressures in the form of gels, it is necessary to employ an especially complex and expensive aerosol container, that in addition to the usual components also includes a bag or piston or equivalent device, as shown in Monson's Fig. 4 of the Monson patent.

While Monson states that his post-foaming 15 gels can be packaged in collapsible metal tubes, this is not practical. Because of the super-atmospheric pressure of the compositions, it is necessary to use an aerosol valve and dispensing spout. If a tube cap were used, the composition would continue to gush 20 from the container until the cap were screwed into place. Further, the crimped end of the collapsible tube would unravel and pop open under the sustained pressure of the composition. In addition, the pressure would maintain the collapsible metal tube in 25 a constantly expanded state, regardless of the amount of material in the tube. As a consequence, as the contents were emptied, the gel would tend to expand to fill the tube, and then be expelled as an aerated gel or lather.

30 The Monson compositions because of their

above atmospheric vapor pressure can not be exposed to elevated temperature in storage, shipping and use without causing problems of premature expansion.

#### OBJECTS OF THE INVENTION

5 It is widely recognized that important functions of shaving compositions include wetting of the beard, followed by softening of the beard as a consequence of its imbibing the aqueous solution. Lubrication of the beard and the skin is an 10 additional important function. The wetting and softening actions are favored where the composition is in a sufficiently mobile form (liquid, gel, cream and the like) to spread and penetrate the beard, rather than being immobilized in the walls of a 15 lather. Consequently, it is advantageous to apply the composition in liquid form and for it to remain thus for a finite period of time, rather than applying it as a lather. Wetting and softening actions are further enhanced by rubbing the mobile 20 shaving composition into the beard. Ideally, lather generation should be delayed for the small period required to effectively soften the beard, but the delay should not be excessive. An object of this invention is to provide a shaving composition in 25 mobile form that can be rubbed into the skin end beard and thereby generate a lather. A further object is to control the time required for the lather to be generated to insure thorough softening of the beard, but without excessive delay.

It is an object of this invention to provide

15

20

25

30

shaving compositions with sub-atmospheric vapor pressures that generate a lather when rubbed on the face.

A further object of this invention is to provide shaving compositions with sub-atmospheric vapor pressures that generate a lather when rubbed on the face and which may be economically packaged in inexpensive containers such as, for example, collapsible tubes.

Another object of this invention is to provide
shaving compositions with sub-atmospheric vapor
pressures that generate a lather when rubbed on the
face and which may be exposed to elevated temperature
in storage, shipping and use without premature
expansion of the composition occurring.

A still further object of this invention is to provide shaving compositions wherein the vapor pressures of the compositions do not exceed atmospheric pressure at about 100°F, and preferably up to about 120°F so that they may be exposed to elevated temperatures in storage, shipping and use without premature expansion occurring.

Another object of this invention is to provide shaving compositions with sub-atmospheric vapor pressures which when applied to the skin does not tend to impart a smarting sensation thereto.

A still further object of this invention is to provide a relatively inexpensive shaving composition package in the form of a collapsible tube containing a shaving composition with sub-atmospheric vapor pressures that generate a lather when rubbed on WO 86/03405 PCT/US85/02371

- 5 -

the face and which does not cause premature expansion thereof in the container when exposed to storage, shipping and use conditions including elevated temperatures, e.g. 100°F and preferably up to 120°F.

5 GENERAL DESCRIPTION OF THE INVENTION

It has been found that the objects of this invention may be realized by providing a shaving composition comprising a volatile organic liquid in liquid form at normal ambient temperature having a vapor pressure substantially below atmospheric pressure combined with an aqueous soap solution and a surface active agent, said soap solution and surface active agent capable of promoting the volatilization of the organic liquid thereby generating a lather in a practical period of time e.g., less than about 15 seconds, as well as reducing smarting.

Prior to the present invention it would not have been expected that a shaving composition employing an organic liquid having a vapor pressure substantially below atmospheric pressure could produce sufficient gas in a practical period of time to generate a shaving lather. More particularly, the use of organic liquids with vapor pressure substantially below atmospheric was not previously contemplated by the prior art because it was improbable that such liquids could evolve sufficient gas in a practical period of time for a shaving lather. In fact, it could not be done prior to this discovery that certain aqueous soap solutions promote the volatilization of those liquids to a sufficient

10

15

20

25

30

- 6 -

extent that a lather may be generated in a practical period of time. For example, it has now been found that when a mixture of saturated hydrocarbons having a vapor pressure of about 5 p.s.i.a. at 20°C is combined with a soap solution of this invention and applied to the face it will generate a stable shaving lather when rubbed for only a few strokes. In contrast, using the same organic liquid with a conventional soap solution considerably more rubbing would be required.

The essential components of the invention, i.e., the aqueous soap solution; the surface active agent; and, the water-insoluble volatile organic liquid having a vapor pressure substantially below atmospheric will now be discussed in detail.

15

20

10

5

## A. AQUEOUS SOAP SOLUTION

As indicated earlier the aqueous soap solution is of such nature and is in such an amount that when it is combined with the surface active agent the volatilization of the volatile organic liquid is promoted to the extent that when the composition is rubbed on the skin a lather is generated within a practical period of time, e.g., less than about 15 seconds.

Examples of aqueous soap solutions useful in this invention are aqueous solutions of water-soluble palmitate or stearate soaps of sodium, potassium, ammonium, morpholine, and various alkanolamines, such as mono-, di-, and triethanolamine, mono-, di-, and triisopropanolamine, and 2- amino-

10

2-methyl-1-propanol, and mixtures of these water-soluble soaps. Preferably the soaps are superfatted, usually with free fatty acids, to aid the stability of the lather. With strong alkalis it is preferable to neutralize using only about 60% to 90% of the stoichiometric equivalent amount of alkali, to assure sufficient superfatting. With weak alkalis, such as triethanolamine, free fatty acids are present even when the stoichiometric equivalent amount of amine is used.

These soaps are preferably prepared in situ by the neutralization of the fatty acids with alkali. They may also be prepared by alkaline saponification of a fat, such as tallow or hydrogenated corn oil.

15 In general, the aqueous solution should contain soap plus superfatting agent, wherein the soap plus superfatting agent is in an amount of about 5% to 25% by weight of the total composition. least 60% by weight of this amount should be soap. 20 If the amount of soap plus superfatting agent is too low, the lathers generated will lack stability; if too high, it will interfere with lather formation. If the soap content is less than about 60% of the combination of soap and superfatting, lather 25 formation will be adversely affected. The preferred amount of soap and superfatting is from about 7% to about 14% by weight of the total composition.

While the fatty acids remaining after incomplete neutralization are the preferred superfatting ingredients, other water-insoluble long

30

chain polar compounds may be used, such as cetyl alcohol, stearyl alcohol, lanolin alcohols, cebyl amide, glyceryl monopalmitate, glyceryl monostearate, propylene glycol monopalmitate and propylene glycol monostearate.

5

10

15

20

25

#### B. THE SURFACE ACTIVE AGENT

The surface active agent is of such nature and is in such an amount that when combined with the aqueous soap solution the volatilization of the volatile organic liquid is promoted to the extent that when the composition is rubbed on the skin a lather is generated within a practical period of time.

Examples of suitable surface active agents are nitrogen-containing surface active agents that are nonionic in alkaline media and cationic in acid media, selected from the group consisting of:

a) Tertiary amine oxides with one long hydrocarbon chain of 12 to 22 carbon atoms. Examples of suitable materials include lauryl dimethyl amine oxide, myristyl dimethyl amine oxide, cetyl dimethyl amine oxide, stearyl dimethyl amine oxide, lauryl morpholine amine oxide, bis (2-hydroxyethyl) lauryl amine oxide, and bis (2-hydroxyethyl) stearyl amine oxide; and, b) lauroyl and myristoyl alkanolamides, such as lauroyl diethanolamide, myristoyl diethanolamide, lauroyl monoethanolamide, and lauroyl diethanolamide.

These amine oxides and alkanolamides, when combined with aqueous soap solutions and suitable volatile organic liquids, have the effect of

10

15

20

25

increasing the ease with which a shaving lather is generated by rubbing on the skin. Since lather formation requires the evolution of a sufficient amount of gas due to volatilization of the organic liquid, it follows that these compounds promote the volatilization of the organic liquid. They also reduce smarting, in part due to the more rapid volatilization of the organic liquid.

The amine oxides are the preferred nitrogen-containing surface-active agents. Larger amounts can be used than the alkanolamides, and consequently, more rapid lather formation can be achieved. In general, the amine oxides do not reduce the viscosities of the alkanolamine soap solutions and may enhance their viscosities. They tend to reduce the viscosities of sodium and potassium soap solutions.

The alkanolamides are comparable to the amine oxides at low concentrations in their effectiveness in promoting lather generation. However, at higher concentrations they have the unusual effect of producing a pituitous lather. Further rubbing after the lather has been formed causes the lather to constrict to a cluster, pulling away from the skin. This is an unsatisfactory effect. When used at low concentration, either alone or in combination with the amine oxides, the alkanolamides are effective in increasing the viscosities of soap solutions as well as in promoting lather formation.

The amine oxides and alkanolamides can be

5

10

15

20

25

30

BNSDOCID: <WO\_

used at concentrations ranging from about 1% to about 10% by weight of the composition. The preferred concentration range is from about 2% to about 8% by weight of the composition, They may be used alone or in combination, However, the alkanolamides should generally not be used at concentrations greater than about 3% by weight.

#### C. VOLATILE ORGANIC LIQUID

The volatile organic liquid is of such nature and in such amount that, although in liquid form at ambient temperature and having a vapor pressure substantially below atmospheric pressure, its volatilization is capable of being promoted by the combination of the aqueous soap solution and the surface active agent to an extent that a lather is generated in a practical period of time.

The volatile organic liquid is a water-insoluble organic liquid boiling in the range from about 25°C to about 70°C, but preferably in the range from about 35°C to about 50°C. Those liquids include saturated aliphatic hydrocarbons having 5 or 6 carbon atoms, such as n-pentane, iso-pentane, n-hexane, iso-hexane, 2,2-dimethyl-butane, 2,3-dimethyl-butane, and 3-methyl-pentane, and completely halogenated hydrocarbons containing 2 carbon atoms such as 1,1,2-trichloro-trifluoroethane.

A single ingredient or a blend of two or more ingredients may be used to obtain the required boiling point. The compounds with lower boiling points may also be blended with ingredients that will

10

dissolve in them and which have substantially higher boiling points, for the purpose of raising the boiling point of the more volatile organic liquid. Examples of such ingredients include superfatting agents, such as the higher fatty acids and higher fatty alcohols and monoglycerides, emollients, such as mineral oils, lanolin, and esters of the higher fatty acids, and hydrocarbons of intermediate boiling points such as decame and dodecame.

Organic liquids that are more water-soluble than the saturated aliphatic hydrocarbons and the completely halogenated hydrocarbons reduce lather stability and also tend to increase smarting. They are preferably not used, except in minor amounts.

The aliphatic hydrocarbons are used at a concentration of at least about 1% and preferably from about 2% to about 5% of the composition, while the halogenated hydrocarbon will comprise at least about 2% and preferably from about 3% to 8% of the composition.

20

25

30

15

#### D. ADJUVANT INGREDIENTS

In addition to these essential components, various adjuvant ingredients customarily used in shaving preparations may be incorporated. These include humectants, such as glycerine, propylene glycol and sorbitol, emollients such as lecithin and lanolin, corrosion inhibitors such as sodium and potassium silicates, preservatives such as the methyl and propyl esters of p-hydroxybenzoic acid, dyes, and fragrances.

10

15

30

The amine oxides and the alkanolamides used in the practice of this invention are commonly used in combination with anionic surface active agents to stabilize foam in the presence of soil. Detergent solutions containing these ingredients in combination with anionic detergents will exhibit foaming action in the presence of larger amounts of soil than without these ingredients. Similarly, they may be used in shaving preparations to stabilize the lather in the presence of natural oils present on the skin. Prior to the present invention, it was neither known nor contemplated that these foam stabilizers would be useful in promoting the volatilization of volatile organic liquids, thereby facilitating lather formation. Neither was it known or contemplated that these ingredients would be effective in reducing the smarting of these volatile organic liquids in soap solutions.

The effect of alkanolamides and amine oxides in increasing the rate of vaporization of organic liquids is illustrated by the following examples using n-hexane as the organic liquid. N-hexane boils at 69°C and has a vapor pressure of only 2.5 p.s.i.a. at 21°C and 5.0 p.s.i.a. at 38°C (100°F). The soap solution used in the test comprised 10.5% monoethanolamine palmitate, with 70% of the fatty acid neutralized by the amine, 4.7% sorbitol, and 84.8% water. The additives were combined with the soap solution and then 5% by weight of n-hexane was mixed in.

The samples were evaluated by stroking in a

circular motion on the wet inner forearm of a subject until a shaving lather was generated. The results in Table 1 show that the use of an appropriate additive could reduce the number of strokes required to produce a lather to less than 1/3, and sometimes less than 1/4 the number of strokes required for a soap solution without additive.

## TABLE 1

			Required Numb	ber
			of Strokes	
10	bbA	litive	For Lather	
			Formation	
	A.	None	115	
15	B.	1.25% Lauroyl diethanolamide (97% pure)	55	
		(97% pare)	33	
	c.	1.25% Lauroyl diethanolamide		
		(97% pure)	25	
20		+ 1.5% lauryl dimethyl amine oxide		
	D.	1.5% Lauryl dimethyl amine oxide	40	
25	E.	3.0% Lauroyl diethanolamide (97% pu	re) 40	
	F.	3.0% Lauroyl diethanolamide (97% pu	ıre) 30	
30		+ 1.5% lauryl dimethyl amine ox	kide	

WO 86/03405 PCT/US85/02371

- 14 -

	G. 2.0% Cetyl dimethyl amine oxide	30
	H. 2.0% Stearyl dimethyl amine oxide	30
5	I. 2.0% Myristyl-cetyl dimethyl amine oxide	30 ·
	J. 2.0% Coco-amido propyl dimethyl amine oxide	40
10	The examples that follow were prepare	
	essentially the same way. The fatty acids,	alkali,
	humectant and water were combined and heated	to 80°C
	to 85°C with slow stirring. The soap solution	on was
	cooled under vacuum, with slow stirring, to	45°C.
15	Concentrated solutions, about 30% by weight,	of the
	amine oxide and alkanolamide were then added	, along
	with preservatives, corrosion inhibitors, fra	agrances,
	and most other ancillary agents. Any ancilla	ary waxes
	are best added initially. After these additi	ions, the
20	composition is cooled with slow stirring under	er vacuum
	to ambient temperature. The volatile organic	
	is then added and mixed in with slow stirring	_
	Pressure is then applied to the vessel to far	
	filling into collapsible tubes. Vacuum is u	sed
25	during the preparation to minimize air entra	pment.
	It is advantageous to remove air from	om the
	head space in the tube. This is conveniently	y done by
	drawing a vacuum on the tube after filling,	_
	before final sealing of the tube. If the ai	
30	removed from the head space, the pressure in	

20

25

30

will be above atmospheric, and this can result in leakage, unfolding of a crimp or the rupture of a heat seal.

The compositions may be packaged in any type of collapsible tube that has a sufficiently good barrier layer to prevent loss of the volatile organic liquid through the walls of the tube. Thus, they may be packaged in aluminum, coated aluminum, tin-plate, coated tin-plate, wax-lined lead, and laminated tubes with an appropriate barrier layer.

While it is considered preferable to prepare the compositions as gels or creams, suitable for packaging in tubes, they may also be prepared as viscous liquids, clear or opaque, and packaged in bottles. Glass or plastic bottles may be used, provided the material selected is a sufficient barrier to prevent loss of the volatile organic liquid through the walls.

## SPECIFIC DESCRIPTION OF THE INVENTION

The following Examples illustrate the invention. In all of the examples, the same method was used to evaluate the ease with which a lather could be generated by rubbing, as described in Example 1. In all instances, comparison is made with a control, which differs from the example essentially in that the amine oxide and/or alkanolamide was omitted. The vapor pressures are calculated and do not take into consideration any pressure lowering effect due to the free fatty acids. The actual vapor pressures can be expected to be a little lower than

the values shown in the examples.

#### EXAMPLE 1

This example illustrates the effect of the alkanolamide and amine oxides in improving the ease with which a lather is generated and in reducing smarting using a potassium palmitate soap, with the fatty acids 70% neutralized.

	Soap Solution	Parts by Weight
10	Palmitic acid	40.4
	Potassium hydroxide	6.2
	Propylene glycol	20.0
	Water	360.0

The ingredients were combined, heated to the boil, and then cooled using slow-speed stirring throughout.

	Composition 1	Parts by	Weight
20	Soap solution (as above)	123.0	
	Lauroyl diethanolamide	4.5	
	30% Lauryl dimethylamine oxide in water	22.5	•
	n-Pentane	6.0	

Control A was prepared by blending 4% by weight of n-pentane into the soap solution. Both Composition 1 and Control A were packaged in collapsible aluminum tubes and evaluated the following day.

Comparison was made between the number of

10

strokes required to generate a lather with the two samples by rubbing with a circular motion on a wet inner forearm. Control A, which was an opaque cream required 23 strokes to generate a lather, which was of good stability. Composition 1, which was a transparent gel, required only 8 strokes to generate e lather, which was also of good stability.

Small globs of about equal size of the two samples were placed on each arm of a subject, and allowed to stay undisturbed for 5 minutes before removing. On both arms, Control A produced smarting and redness, while Composition 1 did not.

#### EXAMPLE 2

This Example is similar to the previous one,

except that an amine soap is used in place of a
potassium soap. Again, the fatty acids were 70%
neutralized.

	Soap Solution	Parts by Weight
	Palmitic acid	40.2
20	Monoethanolamine	6.8
	Sorbitol	21.0
	Water	360.0

25 boil, end then cooled using slow-speed stirring throughout.

	Composition 2	Parts by Weight
	Soap solution (as above)	130
	30% Lauryl dimethylamine oxide in water	20
30	n-Pentane	6

Control B was prepared by blending 4% by weight of n-pentane into the soap solution.

Composition 2 and Control B were packaged in aluminum tubes and evaluated. Control B was a pearlescent cream, while Composition 2 was a transparent gel.

When rubbed on a wet inner forearm, Control B required 20 strokes to generate a lather, while Composition 2 only required 9 strokes. When applied to the face, worked into a lather, and then left on for 3 minutes, only Control B produced smarting.

Examples	3	4	5	6	7
		Par	ts by	Weight	
Palmitic acid	4.2	6.8	4.5	7.9	7 - 9
Stearic acid	2.7	1.6	3.6	_	-
Sodium hydroxide	0.8	0.5	-	-	-
Potassium hydroxide	_	0.5	-	-	_
Monoethanolamine	-	-		3.2	1.3
Diethanolamine	-	-	2.3	-	<b>-</b>
Lauroyl diethanolamide	· <u>-</u>	2.4	2.3	-	-
Lauryl dimethyl amine oxide	6.0	4.0	-	3.7	-
Cetyl dimethyl amine oxide	_	-	2.3	-	3.6
Propylene glycol	3.8	4.3	-	_	-
Sorbitol	_	_	4.0	3.9	3.9
Water	79.0	75.2	77.1	79.0	79.6
n-Pentane	3.4	3.8	4.0	4.2	3.8
n-Hexane	-	-	-	-	0.8
Isopar E*	_	0.8	-	-	-
Calculated vapor pressure					
at 21°p.s.i.a.	8.5	7.7	8.5	8.5	7.6
Strokes Required for lather	10	17	8	10	15
Strokes required for lather	=				
with control	20	40	23	25	35
	Palmitic acid Stearic acid Sodium hydroxide Potassium hydroxide Monoethanolamine Diethanolamine Lauroyl diethanolamide Lauryl dimethyl amine oxide Cetyl dimethyl amine oxide Propylene glycol Sorbitol Water n-Pentane n-Hexane Isopar E* Calculated vapor pressure at 21°p.s.i.a. Strokes Required for lather Strokes required for lather	Palmitic acid 4.2 Stearic acid 2.7 Sodium hydroxide 0.8 Potassium hydroxide - Monoethanolamine - Diethanolamine - Lauroyl diethanolamide - Lauryl dimethyl amine oxide 6.0 Cetyl dimethyl amine oxide - Propylene glycol 3.8 Sorbitol - Water 79.0 n-Pentane 3.4 n-Hexane - Isopar E* - Calculated vapor pressure at 21°p.s.i.a. 8.5 Strokes Required for lather 10 Strokes required for lather	Palmitic acid 4.2 6.8 Stearic acid 2.7 1.6 Sodium hydroxide 0.8 0.5 Potassium hydroxide - 0.5 Monoethanolamine Diethanolamine Lauroyl diethanolamide - 2.4 Lauryl dimethyl amine oxide 6.0 4.0 Cetyl dimethyl amine oxide Propylene glycol 3.8 4.3 Sorbitol Water 79.0 75.2 n-Pentane 3.4 3.8 n-Hexane Isopar E* - 0.8 Calculated vapor pressure at 21°p.s.i.a. 8.5 7.7 Strokes Required for lather 10 17	Palmitic acid 4.2 6.8 4.5  Stearic acid 2.7 1.6 3.6  Sodium hydroxide 0.8 0.5 -  Potassium hydroxide - 0.5 -  Monoethanolamine 2.3  Lauroyl diethanolamide - 2.4 2.3  Lauryl dimethyl amine oxide 6.0 4.0 -  Cetyl dimethyl amine oxide 6.0 4.0 -  Cetyl dimethyl amine oxide - 2.3  Propylene glycol 3.8 4.3 -  Sorbitol 4.0  Water 79.0 75.2 77.1  n-Pentane 3.4 3.8 4.0  n-Hexane  Isopar E* - 0.8 -  Calculated vapor pressure  at 21°p.s.i.a. 8.5 7.7 8.5  Strokes Required for lather 10 17 8  Strokes required for lather	Palmitic acid 4.2 6.8 4.5 7.9  Stearic acid 2.7 1.6 3.6 -  Sodium hydroxide 0.8 0.5  Potassium hydroxide - 0.5  Monoethanolamine 2.3 -  Lauroyl diethanolamide - 2.4 2.3 -  Lauryl dimethyl amine oxide 6.0 4.0 - 3.7  Cetyl dimethyl amine oxide - 2.3 -  Propylene glycol 3.8 4.3  Sorbitol 4.0 3.9  Water 79.0 75.2 77.1 79.0  n-Pentane 3.4 3.8 4.0 4.2  n-Hexane  Isopar E* - 0.8  Calculated vapor pressure  at 21°p.s.i.a. 8.5 7.7 8.5 8.5  Strokes Required for lather 10 17 8 10

PCT/US85/02371

\* Isopar E (Exxon) - isoparaffinic hydrocarbon fraction composed principally of 8-carbon isomers, 116-139°C boiling range; ave. mol. wt. - 128

The following Examples 8 and 9 illustrate compositions that include corrosion inhibitor, preservatives and preference. These compositions are in the form of gels, which are the preferred form of the invention.

		Parts	by Weight
10	Example	8	. <u>9</u>
	Palmitic acid	5.7	7.8
	Coconut fatty acids	0.7	-
	Triethanolamine	2.9	-
	Monoethanolamine	-	1.2
15	Lauroyl diethanolamide	2.8	-
	Lauryl dimethylamine oxide	2.5	0.6
	Cetyl dimethylamine oxide	-	3.2
	Sorbitol	4.2	4.0
	Sodium Metasilicate	0.2	0.4
20	Methyl p-hydroxybenzoate	0.2	0.2
	Propyl p-hydroxybenzoate	0.1	0.1
	Perfume	0.2	0.2
	Water	76.6	78.5
	n-Pentane	3.9	3.8
25	Calculated vapor pressure		
	at 21°C, p.s.i.a.	8.5	8.5
	Strokes required for lather	5	<b>.</b>
	Strokes required for lather		
	with control	35	25
30			

10

15

20

25

While the present invention is not limited to any specific theory of action, it should be of interest and might be helpful in understanding the invention if some possible explanation is offered for the surprising discovery that it is possible for shaving lather to be produced rapidly using volatile organic liquids having vapor pressures that are substantially below atmospheric pressure,

It appears likely that several factors acting in concert are responsible for the formation of lathers at a practical rate from the compositions of this invention. First, the organic liquid may be present in liquid droplets of very small size. It is known that droplets of small size have a larger vapor pressure than the bulk liquid, This is the case because condensation will increase the surface area, and therefore the surface free-energy of the system to a greater extent with small droplets than with large droplets. Similarly, evaporation will decrease the surface area and the surface free-energy of smaller droplets to a greater extent than with larger droplets.

The argument has validity if the droplets are at a vapor-liquid interface. At a liquid-liquid interface, the interfacial tension is apt to be quite low and the increase in the vapor pressure of the organic liquid due to its small droplet size could be minor.

Then the second requirement is that the small droplets be brought to the surface so that they are at least partially at a liquid-vapo. interface.

25

30

This can significantly increase the vapor pressure of the organic liquids. Rapid conversion of the organic liquid to gas is also aided by a large surface area. It is reasonable to speculate that rubbing the shaving composition onto the face initiates the 5 process of rapid evaporation of organic liquid by first entrapping air to form tiny bubbles, thus enlarging the surface area. The organic liquid droplets migrate to the surface and the liquid vaporizes into the air bubbles causing the bubbles to 10 grow larger. Further rubbing breaks down the bubbles into smaller ones, which further increases the surface area; also, more air is entrapped. process feeds on itself, and with increased rubbing, the lather becomes thicker as more organic liquid is 15 converted to gas.

The compositions used in the practice of this invention are demonstrably superior to the compositions of the prior art with regard to the ease with which they produce shaving lathers using volatile organic liquids of low vapor pressure. The above speculations suggest that this superiority is due to one or more of the following: formation of organic liquid droplets of smaller size; a greater tendency for these droplets to concentrate at the surface; a greater tendency to entrap air by rubbing; and the promotion of a larger surface area by forming smaller bubbles.

Another advantage of the compositions of this invention is that they have less tendency to cause smarting or irritation than conventional

shaving soap solutions compounded with the same organic liquids. It can be readily shown that these organic liquids, when in liquid form, do have a tendency to cause smarting or stinging. Since these organic liquids are more readily converted to gaseous 5 form with the soap solutions of the invention than with those of the prior art, this reduced tendency towards smarting is readily understood. However, this is only part of the picture. When the comparison compositions are placed on the skin and 10 allowed to remain undisturbed, very little volatilization of organic liquid occurs from either mass, yet irritation and redness may be observed from the prior art soap solution, but not from the soap solution of the invention. It may be that the layer 15 of soap and other surface-active molecules that surround the organic liquid droplets are more effective as barriers to screen the droplets from contact with the skin. It is known, for example, that anionic detergents such as soaps form expanded 20 surface films. When superfatted, the surface films become more condensed as a consequence of ion-dipole interaction between the long-chain soap anion and the fatty acid dipole. Neutral nitrogen-containing surface active agents, such as the amine oxides and 25 alkanolamides, interact particularly strongly with soap anions to give more tightly packed surface films that may be responsible for this proposed barrier effect.

30

- 23 -

### CLAIMS

1. A shaving preparation having a sub-atmospheric vapor pressure at ambient temperatures and which generates lather in a 5 practical period of time when applied to the skin comprising (1) an aqueous soap solution; (2) a surface active agent; and, (3) a volatile water-insoluble organic liquid having a vapor pressure substantially below atmospheric pressure, 10 and capable of having its volatilization promoted by the aqueous soap solution and surface active agent, said aqueous soap solution and surface active agents being of such nature and in such amounts that when said composition is applied to the skin the 15 volatilization of the volatile organic liquid is promoted to the extent that a lather is generated in a practical period of time while reducing the tendency of the volatile organic liquid to cause smarting of the skin.

20

25

30

2. A shaving preparation having a sub-atmospheric vapor pressure at ambient temperatures that is an aqueous solution containing a surface active agent in an amount of about 1 to 10% by weight of the total composition and a water-insoluble volatile organic liquid in an amount of 1 to 10% by weight of the total composition, said aqueous solution containing soap in an amount from about 5% to 25% by weight; the water of the aqueous solution being present in an amount to give 100% by

BNS name 2

10

- weight for the composition.
- 3. A shaving preparation according to Claim 1 or 2 wherein the soap is selected from the group consisting of water soluble palmitate and stearate soaps.
  - 4. A shaving preparation according to Claim 1 or 2 wherein up to 40% by weight of the soap has been replaced by a superfatting agent.
- 5. A shaving preparation according to Claim 1 or 2 wherein the soap solution is superfatted with free fatty acids, the free fatty acids being in an amount up to 40% of the total weight of soap plus free fatty acids.
- 6. A shaving preparation according to Claim
  1 or 2 wherein the soap solution contains as the soap
  an alkanolamine palmitate soap with the fatty acids
  from about 60% to about 90% neutralized.
- 7. A shaving preparation according to Claim
  1 or 2 wherein the soap of the aqueous soap solution
  is selected from the group consisting of water
  25 soluble palmitate and stearate soaps of sodium,
  potassium, ammonium, morpholine and alkanolamines.
- 8. A shaving preparation according to Claim
  1 or 2 wherein the surface active agent is a nitrogen
  30 containing surface active agent that is nonionic when

dissolved in soap solutions.

- 9. A shaving preparation according to Claim 1 or 2 wherein the surface active agent is a nitrogen containing surface active agent selected from the group consisting of tertiary amine oxides with one long hydrocarbon chain of 12 to 22 carbon atoms and lauroyl and myristoyl alkanolamides.
- 10. A shaving preparation according to Claim
  10 1 or 2 wherein the surface active agent is selected
  from the group consisting of lauryl dimethyl amine
  oxide, myristyl dimethyl amine oxide and cetyl
  dimethyl amine oxide.
- 11. A shaving preparation according to Claim 1 or 2 wherein the surface active agent is a tertiary amine oxide having a hydrocarbon chain of 12 to 22 carbon atoms.
- 12. A shaving preparation according to Claim l or 2 wherein the surface active agent is a tertiary amine oxide having a hydrocarbon chain of 12 to 22 carbon atoms, said surface active agent being in an amount from 2% to 8% by weight.
  - 13. A shaving preparation according to Claim 1 or 2 wherein the volatile organic liquid boils in the range of about 25°C to about 70°C.
- 30 l4. A shaving preparation according to Claim

1 or 2 wherein the volatile organic liquid boils in the range of about 35°C to about 50°C.

- 15. A shaving preparation according to Claim
  1 or 2 wherein the volatile organic liquid is
  5 selected from the group consisting of saturated
  aliphatic hydrocarbons having 5 or 6 carbon atoms and
  completely halogenated hydrocarbons containing 2
  carbon atoms.
- 16. A shaving preparation according to Claim
  1 or 2 wherein the volatile organic liquid is a
  saturated aliphatic hydrocarbon having 5 or 6 carbon
  atoms in an amount from about 2% to about 5% of the
  composition.
  - 17. A shaving preparation according to Claim 1 or 2 . wherein the volatile organic liquid is a completely
- halogenated hydrocarbon containing 2 carbon atoms in an amount from about 3% to about 8% by weight of the composition.
- 18. A shaving preparation according to Claim
  1 or 2 wherein the volatile organic liquid is
  n-pentane.
  - 19. A shaving preparation according to Claim 1 or 2 where there is present a humectant.
- 30 20. A shaving preparation according to Claim

l or 2 wherein the humectant is selected from the group consisting of glycerine, propylene glycol and sorbitol.

- 21. A shaving preparation according to Claim

  1 or 2 wherein the soap of the soap solution is
  selected from the group consisting of water soluble
  palmitate and stearate soaps, the surface active
  agent is selected from the group consisting of
  tertiary amine oxides with one long hydrocarbon chain
  of 12 to 22 carbon atoms and lauroyl and myristoyl
  alkanolamides; and, the volatile organic liquid is
  selected from the group consisting of saturated
  aliphatic hydrocarbons having 5 or 6 carbon atoms and
  completely halogenated hydrocarbons containing two
  carbon atoms.
- 22. A shaving preparation according to Claim
  1 or 2 wherein the soap is selected from the group
  consisting of potassium, sodium, monoethanolamine
  diethanolamine and triethanolamine salts of palmitic
  acid and stearic acid; the surface active agent is
  selected from the group consisting of lauryl dimethyl
  amine oxide, myristyl dimethyl amine oxide cetyl
  dimethyl amine oxide and lauroyl diethanolamide; and,
  the volatile organic compound is n-pentane.
- 23. A shaving preparation according to Claim
  1 or 2 wherein there is present a humectant selected
  from the group consisting of propylene glycol and
  sorbitol.

24. A collapsible tube containing the shaving preparation of Claim 1 or 2.

25. A shaving preparation according to Claim 5 1 or 2 wherein the soap of the soap solution is selected from the group consisting of alkanolamine palmitate and stearate soaps, with the fatty acids about 60% to 90% neutralized, the surface active agent is selected from the group consisting of 10 tertiary amine oxides with one long hydrocarbon chain of 12 to 22 carbon atoms and lauroyl and myristoyl alkanolamides; and, the volatile organic liquid is selected from the group consisting of saturated aliphatic hydrocarbons having 5 or 6 carbon atoms and 15 completely halogenated hydrocarbons containing two carbon atoms.

20

25

30

## INTERNATIONAL SEARCH REPORT

I. CLASS	SIFICATION OF SUR	JECT MATTER /H savaral alexale	ication symbols apply, indicate all) 3	1/0503/023/1
According	to International Patent	Classification (IPC) or to both Natk	enal Classification and IPC	
	C1. 4 A			
	S SEARCHED			
		Minimum Document	tation Searched 4	
Classificati	on System	(	Classification Symbols	
υ.	S. 424,	/73		
<del>-</del>			are Included in the Fields Searched	
LEXPA	oxi	ide! <u>or</u> alkanolam	te <u>or</u> Stearate) and ides or diethanology or monoethanology.	amide or
III. DOCI		ED TO BE RELEVANT 14		
Category *	Citation of Docu	ment, 16 with indication, where appr	opriate, of the relevant passages 17	Relevant to Claim No. 18
x	GB,B,	719,647 (COLGA PEET COMPANY) 08 DECEMBER 195		1-5,7,8, 15,17,19 and 20
x	U.S.,A	4,145,411 (MEN 20 MARCH 1979 (	DE), 20.3.79)	1-5,7-9, 15,17,19- 21 and 23
x	U.S.,A	1, 4,035,477 (SCH 12 JULY 1977 (		1-5,7-9, 15,17,19- 21 and 23
A	GB,B,	838,913 (COLGATE COMPANY) 22 JUNE (22.6.60)		1-25
"A" do "E" ea fili "L" do wh cit "O" do ti "P" do	naidered to be of partic riler document but publ ing date iccument which may the iccument which is cited to establis action or other special a iccument referring to an her means iccument published prior er than the priority date	neral state of the art which is not ular relevance ished on or after the international ow doubts on priority claim(s) or h the publication date of another eason (as specified) oral disclosure, use, exhibition or to the international filing date but	cited to understand the print invention  "X" document of particular relevant be considered novel involve an inventive step  "Y" document of particular relevant be considered to involudored to involudored to inventive step	nflict with the application but ciple or theory underlying the vance; the claimed invention or cannot be considered to vance; the claimed invention we an inventive step when the one or more other such docu- ng obvious to a person skilled
	TIFICATION he Actual Completion o	t the International Search 1	Date of Mailing of this internations	Search Report <sup>3</sup>
	14 Feb. 1		27 FFR 19	86
Internation	onal Searching Authorit	y 1	Signature of Authorized Officer 20	
	ISA/US		byeda alza	Coom
PCTU	CA MAR Assessed about	48 - 1 - 1 - 4 - 4 - 4 - 4 - 4 - 4 - 4 - 4		

Pata-ani e I	OCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)  ory *   Citation of Document, 16 with Indication, where appropriate, of the relevant passages 17   Relevant to Claim No 18					
Category *	Change of Document, - with historich, where appropriate, or the leastent passages	Remeant to Claim No				
A	U.S.,A, 4,088,751 (KENKARE ET AL.) 9 MAY 1978 (9.5.78)	1-25				
A	U.S., A, 2,908,650 (FINE) 13 OCTOBER 1959 (13.10.59)	1-25				
Y	U.S.,A, 3,639,568 (SCHMITT) 1 February 1972 (1.2.72)	1-25				
Y	N, McCutcheons, Detergents and Em ulsifiers, 1971 Annual, pp.37 and 58 (1971)	6,10-14, 16,18,22, 24 and 25				
Y	N, Merck & Co., The Merck Index, 9th ed., pp. 193,615 and 923 (1976)	6,10-14, 16,18,22 24 and 25				
	·					
	·					
		·				

Form PCT/ISA/216 (extra sheet) (June 1980)